



Paper No. 8

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**AUG 15 2003**

**OFFICE OF PETITIONS**

In re Application of  
Mark Yarkosky  
Application No. 09/975,179  
Filed: October 11, 2001  
Attorney Docket No. 1740

:  
: DECISION GRANTING PETITION  
: UNDER 37 CFR 1.137(f)  
:  
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This is a decision on the "PETITION TO REVIVE UNDER 37 C.F.R. § 1.137(b)," filed May 7, 2003, which is properly treated as a petition to revive the instant nonprovisional application under the unintentional provisions of 37 CFR 1.137(f).

The petition is **GRANTED**.

The request for refund is **DENIED**.

Petitioner states that the instant nonprovisional application is the subject of a corresponding PCT application (No. PCT/US02/31410) filed on October 3, 2002. However, the U.S. Patent and Trademark Office was unintentionally not notified of this filing within 45 days subsequent to the filing of the foreign or international application<sup>1</sup>.

In view of the above, this application became abandoned pursuant to 35 U.S.C. § 122(2)(B)(iii) and 37 CFR 1.213(c) for failure to timely notify the Office of the filing of an application in a foreign country, or under a multilateral international agreement, that requires publication of applications 18 months after filing.

A petition under 37 CFR 1.137(f) must be accompanied by:

- (1) the reply, which is met by the notification of such filing in a foreign country or under a multinational treaty;
- (2) the petition fee as set forth in 37 CFR 1.17(m); and
- (3) a statement that the entire delay in filing the required reply from the due date of the reply until the filing of a grantable petition was unintentional.

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<sup>1</sup> A Rescission of Nonpublication Request was filed on October 15, 2002. However, it was not accompanied by a Notice of Foreign Filing. The act of filing the international application with the US Receiving Office is not a notification to the Office of the foreign filing within the meaning of 35 U.S.C. 122(b)(2)(B)(iii) or 37 CFR 1.213(c).

The instant petition has been found to be in compliance with 37 CFR 1.137(f). Accordingly, the failure to timely notify the Office of a foreign or international filing within 45 days after the date of filing of such foreign or international application as provided by 35 U.S.C. 122(b)(2)(B)(iii) and 37 CFR 1.213(c) is accepted as having been unintentionally delayed.

The previous Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) has been rescinded. A Notice Regarding Rescission of Nonpublication Request to show the projected publication date of November 20, 2003 accompanies this decision on petition.

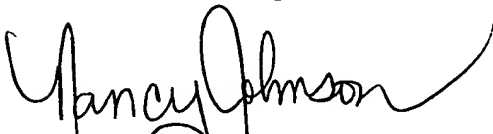
35 U.S.C. 41(a)(7) provides that a petition for the revival of an unintentionally abandoned application or for the unintentionally delayed payment of the issue fee must be accompanied by the petition fee set forth in 37 CFR 1.17(m), unless the petition is filed under 35 U.S.C. 133 or 151 (on the basis of unavoidable delay), in which case the fee is set forth in 37 CFR 1.17(l). Thus, unless the circumstances warrant the withdrawal of the holding of abandonment (i.e., it is determined that the application is not properly held abandoned), the payment of a petition fee to obtain the revival of an abandoned application is a statutory prerequisite to revival of the abandoned application, and cannot be waived.

In addition, the phrase "[o]n filing" in 35 U.S.C. 41(a)(7) means that the petition fee is required for the filing (and not merely the grant) of a petition under 37 CFR 1.137. See H.R. Rep. No. 542, 97th Cong., 2d Sess. 6 (1982), reprinted in 1982 U.S.C.C.A.N. 770 ("[t]he fees set forth in this section are due on filing the petition"). Therefore, the Office: (A) will not refund the petition fee required by 37 CFR 1.17(l) or 1.17(m), regardless of whether the petition under 37 CFR 1.137 is dismissed or denied; and (B) will not reach the merits of any petition under 37 CFR 1.137 lacking the requisite petition fee.

In view thereof, petitioner's request to waive or refund the petition fee must be denied.

The application is being forwarded to Technology Center 2684 for examination in due course.

Telephone inquiries regarding this decision should be directed to the undersigned at (703) 305-0309.



Nancy Johnson  
Senior Petitions Attorney  
Office of Petitions

Enclosure: Notice Regarding Rescission of Nonpublication Request



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/975,179	10/11/2001	Mark Yarkosky	1740

CONFIRMATION NO. 9109



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Date Mailed: 08/15/2003

### NOTICE REGARDING RESCISSION OF NONPUBLICATION REQUEST AND NOTICE OF FOREIGN FILING

Applicant's rescission of the previously-filed nonpublication request and/or notice of foreign filing is acknowledged. The paper has been reflected in the United States Patent and Trademark Office's (USPTO's) computer records so that the earliest possible projected publication date can be assigned.

The projected publication date is 11/20/2003.

If applicant has not "foreign filed,"<sup>1</sup> then applicant need take no further action regarding the nonpublication request.

If applicant "foreign filed" the application after filing the above application and before rescinding the nonpublication request and the rescission did not also include a notice of foreign filing, then a notice of foreign filing (not merely a rescission) is required to be filed within 45 days of the date of foreign filing. See 35 U.S.C. § 122(b)(2)(B)(iii), and Clarification of the United States Patent and Trademark Office's Interpretation of the Provisions of 35 U.S.C. § 122(b)(2)(B)(ii)-(iv), Off. Gaz. Pat. Office (July 1, 2003). This document is also posted on the USPTO Internet site at: [www.uspto.gov](http://www.uspto.gov).

If a notice of foreign filing was not filed within 45 days of the date of "foreign filing," then the application became abandoned pursuant to 35 U.S.C. § 122(b)(2)(B)(iii), and applicant should either file a petition to revive or notify the Office that the application is abandoned. See 37 CFR 1.137(f). Any such petition to revive will be forwarded to the Office of Petitions for a decision. Note that the filing of the petition will not operate to stay any period of reply that may be running against the application.

Questions regarding petitions to revive should be directed to the Office of Petitions at (703) 305-9282. Questions regarding publications of patent applications should be directed to the patent application publication hotline at (703) 605-4283 or by e-mail [pghub@uspto.gov](mailto:pghub@uspto.gov).

<sup>1</sup>Note that "foreign filing" means "filing an application directed to the same invention in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing" for purpose of this notice.

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